

EXHIBIT A - CURRENT PROGRAM BASELINE COST SUMMARY

Item	Program Baseline – May 2019	Notes
CVS including Track and Systems	\$12.366B	CVS defined as 119 miles from Madera to Poplar Avenue
Phase 1 RODs Balance	\$0.841B	Assumes completion by December 2022 to comply with FRA Grant Deadlines
Bookends/Early Investments	\$1.298B	Includes Caltrain Electrification, San Mateo Grade Separations, Rosecrans/Marquardt Grade Separation and LinkUS
Other Funded Scope	\$1.108B	Remaining Program-wide Support, Contingency, Interim Use, Project Reserve, historical Phase 2 expenditures
Total	\$15.613B	

- **Baseline dollars are in Year of Expenditure.**
- **Values have been rounded to the nearest million. Totals may differ due to rounding.**



EXHIBIT B - UPDATED PROGRAM BASELINE COST SUMMARY

Item	Updated Program Baseline – June 2020	Notes
Program Baseline May 2019	\$15.613B	
Pre-Construction Activity	\$0.023B	<p>Pre-construction activities for those sections where the environmental process is completed. Examples of work include preliminary engineering, right-of-way mapping, and design advancement.</p> <p>The Authority's 2019 Project Update Report included a discussion on lessons-learned and one area of improvement was to better define the right of way necessary, and to advance other pre-construction activities, before initiating construction.</p>
Total	\$15.636B	

- Baseline dollars are in Year of Expenditure.
- Values have been rounded to the nearest million. Totals may differ due to rounding.



EXHIBIT C - CAPITAL OUTLAY AND EXPENDITURES REPORT

Fiscal Year 2020-2021

Capital Outlay Budget (\$ in millions) - FISCAL YEAR 2020-2021 PROGRAM BUDGET

	FY19-20 to FY20-21 Budget				
	FY2019-20 Budget	FY2019-20 YTD Expenditures as of April 2020	FY2020-21 Budget	\$ Change increase/ (decrease)	% Change increase/ (decrease)
	A	B	C	(C-A)	(C-A)/A
Bond Fund (Prop 1A) - Project Dev. Phase I	\$ 80	\$ 28	\$ 9	\$ (71)	(88.5%)
Federal Trust Fund (Brownfields) - Project Dev	\$ 1	\$ 0	\$ 0	\$ (0)	(90.0%)
Cap and Trade - Project Dev	\$ 157	\$ 23	\$ 142	\$ (15)	(9.7%)
PROJECT DEVELOPMENT SUBTOTAL	\$ 238	\$ 52	\$ 151	\$ (87)	(36.4%)
Bond Fund (Prop 1A) - Construction	\$ 1,000	\$ 770	\$ 33	\$ (967)	(96.7%)
Cap and Trade - Construction	\$ 733	\$ 278	\$ 2,355	\$ 1,623	221.4%
Cap and Trade - Additional Pre-Construction	\$ -	\$ -	\$ 23	\$ 23	100.0%
CONSTRUCTION SUBTOTAL	\$ 1,732	\$ 1,048	\$ 2,411	\$ 679	39.2%
Bond Fund (Prop 1A) - Bookends (Local Assistance)	\$ 285	\$ 58	\$ 331	\$ 47	16.4%
Cap and Trade - Bookends (Local Assistance)	\$ -	\$ 15	\$ 9	\$ 9	100.0%
BOOKEND PROJECTS SUBTOTAL	\$ 285	\$ 74	\$ 349	\$ 56	19.7%
Budget	\$ 2,255	\$ 1,173	\$ 2,903	\$ 648	28.7%



EXHIBIT D - ADMINISTRATIVE BUDGET

Summary by Office

Administrative Budget (\$ in millions) - FISCAL YEAR 2020-2021 BUDGET					
	FY2019-20 Budget	FY2019-20 YTD Expenditures as of April 2020	FY2020-21 Budget	FY19-20 to FY20-21 Budget	
				\$ Change increase/ (decrease)	% Change increase/ (decrease)
				(C - A)	(C - A)/A
	A	B	C		
Executive Office	\$ 2.5	\$ 1.4	\$ 2.5	\$ 0.0	0.0%
Administration Office	\$ 7.5	\$ 5.0	\$ 8.8	\$ 1.3	16.7%
Strategic Communications Office	\$ 1.8	\$ 0.9	\$ 1.9	\$ 0.1	6.2%
Financial Office	\$ 7.3	\$ 3.7	\$ 8.4	\$ 1.2	15.9%
Legal Office	\$ 3.4	\$ 1.9	\$ 3.4	\$ -	0.0%
Program Delivery Office	\$ 24.0	\$ 15.1	\$ 34.2	\$ 10.2	42.4%
Audit Office	\$ 1.6	\$ 0.8	\$ 1.6	\$ (0.0)	(0.0%)
Legislative Office	\$ 0.7	\$ 0.3	\$ 0.7	\$ 0.0	0.0%
Information Technology Office	\$ 9.6	\$ 3.7	\$ 12.2	\$ 2.7	28.0%
Budget	\$ 58.3	\$ 32.9	\$ 73.6	\$ 15.4	26.4%



EXHIBIT E - ADMINISTRATIVE BUDGET

Summary by Activity

Administrative Budget (\$ in millions)					
	FY2019-20 Budget	FY2019-20 YTD Expenditures as of April 2020	FY2020-21 Budget	FY19-20 to FY20-21 Budget	
				\$ Change increase/ (decrease)	% Change increase/ (decrease)
	A	B	C	(C - A)	(C - A)/A
Salaries and Wages	\$ 28.3	\$ 19.1	\$ 36.6	\$ 8.2	29.1%
Benefits	\$ 14.8	\$ 9.5	\$ 21.2	\$ 6.5	44.0%
General Office Expense	\$ 0.4	\$ 0.1	\$ 0.7	\$ 0.3	95.6%
Board Costs	\$ 0.2	\$ 0.0	\$ 0.1	\$ (0.0)	(27.8%)
Printing	\$ 0.1	\$ 0.1	\$ 0.2	\$ 0.1	57.8%
Communications	\$ 0.3	\$ 0.1	\$ 0.5	\$ 0.3	92.0%
Postage	\$ 0.0	\$ 0.0	\$ 0.0	\$ 0.0	75.0%
Travel, In-State	\$ 0.6	\$ 0.3	\$ 0.7	\$ 0.2	30.0%
Travel, Out-Of-State	\$ 0.1	\$ 0.0	\$ 0.1	\$ -	0.0%
Training	\$ 0.2	\$ 0.0	\$ 0.4	\$ 0.1	59.1%
Rent - Building And Grounds	\$ 2.5	\$ 1.6	\$ 3.1	\$ 0.7	27.7%
Consulting and Professional Services: Interdepartmental	\$ 4.1	\$ 0.8	\$ 2.9	\$ (1.1)	(27.5%)
Consulting and Professional Services: External	\$ 2.4	\$ 0.4	\$ 2.4	\$ (0.0)	(1.8%)
Consolidated Data Centers	\$ 1.2	\$ 0.4	\$ 1.6	\$ 0.4	36.2%
Information Technology	\$ 3.2	\$ 0.6	\$ 2.9	\$ (0.3)	(8.7%)
Budget	\$ 58.3	\$ 32.9	\$ 73.6	\$ 15.4	26.4%



EXHIBIT F - ADMINISTRATIVE BUDGET

Summary - State Positions by Office

Office	FY19-20 to FY20-21 Budget			
	FY2019-20 Budget	FY2020-21 Budget	Change increase/ (decrease)	% Change increase/ (decrease)
	A	B	(B - A)	(B - A)/A
Executive Office	8	8	-	0.0%
Administration Office	40	45	5	12.5%
Strategic Communications Office	9	10	1	11.1%
Financial Office	47	55	8	17.0%
Legal Office	10	10	-	0.0%
Program Delivery Office	114	169	55	48.2%
Audit Office	12	12	-	0.0%
Legislative Office	4	4	-	0.0%
Information Technology Office	27	43	16	59.3%
Authorized Positions	271	356	85	31.4%

