



CALIFORNIA HIGH-SPEED RAIL AUTHORITY CONFLICT-OF-INTEREST CODE

The Political Reform Act (Government Code Section 81000, et seq.), requires state and local government agencies to adopt and promulgate conflict-of-interest codes. The Fair Political Practices Commission has adopted a regulation (2 California Code of Regulations, Section 18730) that contains the terms of a standard conflict-of-interest code, which can be incorporated by reference in an agency's code. After public notice and hearing, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations, Section 18730 and any amendments duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices, designating positions and establishing disclosure categories, shall constitute the conflict-of-interest code of the **California High-Speed Rail Authority (Authority)**.

California High-Speed Rail Authority Board Members and Alternates and the Executive Director/Chief Executive Officer shall file their Statements of Economic Interests electronically with the Fair Political Practices Commission. All other statements will be retained by the Authority.

The positions marked with an asterisk (*) are filled by outside consultants but may be changed to State staff at any given time.

APPENDIX A
DESIGNATED POSITIONS

Designated Position	Assigned Disclosure Category
California High-Speed Rail Authority Advisory Groups	
Technical Advisory Panel (TAP)	1
Seismic Advisory Board (SAB)	1
Geotechnical and Tunnel Advisory Group (GTAG)	1
Tunnel Delivery Advisory Group (TDAP)	1
Members of Finance & Audit Committee	1
Members of Transit-Land Use Committee	1
Executive Office	
Chief Executive Officer	1
Chief of Board Management	1
Chief Deputy Director	1
Chief Auditor	1
Director of Risk Management and Project Controls	1
Chief Financial Officer	1
Chief Counsel	1
Chief Operating Officer	1
Deputy Director of Legislation	1
Chief of Strategic Communications	1
Staff Services Manager I	1
Financial Office	
Assistant Chief Financial Officer	1
Staff Services Manager (All Levels)	1
Chief Accounting Officer	1
Accounting Administrator (All Levels)	6
Project Financial Officer/Director*	1
Deputy Director of Commercial*	1
Financial Advisor- Managing Director	1
Early Train Operator – Commercial Advisor*	1
Commercial Manager*	1
Legal Office	
Assistant Chief Counsel	1
Staff Counsel/Attorney (All Levels)	1
Administrative Office	
Chief Administrative Officer	1
Chief of Administrative Services	1
Staff Services Manager (All Levels)	7
• Human Resources Section	5
• Administration Resources Section	1
• Contracts & Procurement Branch	7
• Contract Administration Branch	1
Chief of Contract Administration Branch	1
Chief of Process and Program Development*	1
Programs Delivery Quality Manager*	3
Program Development Manager*	3
Program Document Control Manager*	3
Title IV Small Business Manger*	1
Quality Engineer*	3

Designated Position	Assigned Disclosure Category
Supervising Transportation Engineer	3
Information Technology Office	
Chief Information Officer	1
Multi-Media Manager	5
Information Technology Manager (All Levels)	4
Information Technology Specialist (All Levels)	4
Information Technology Supervisor (All Levels)	4
Project Portfolio Manager*	4
Technical Director/Manager*	4
Audit Manager	
Senior Management Auditor	1
Program Delivery Office	
Deputy Chief Operating Officer*	1
Operations Manager*	3
Staff Services Manager (All Levels)	3
Civil Transportation Engineer (All Program Delivery)	3
Supervising Transportation Engineer (All Program Delivery)	3
Principal Transportation Engineer (All Program Delivery)	3
Senior Transportation Engineer (All Program Delivery)	3
Principal Bridge Engineer (All Program Delivery)	3
Senior Bridge Engineer (All Program Delivery)	3
Senior Transportation Planner (All Program Delivery)	3
Program and System Safety and Security Branch*	
Director of System Safety and Security	1
Construction Safety Program Manager	2,3
System Security Task Lead	2,3
Contract Management Branch	
Director of Contract Administration	1
Director of Program Services*	1
Director of Operations/Prime Contract Manager*	1
Program Claims Oversight Manager*	2,3
Senior Commercial Specialist*	1
Subcontract Manager*	3
Commercial Director*	1
Small Business Officer*	3
Strategic Deliver Branch	
Director of Strategic Delivery*	1
Deputy Director of Strategic Delivery	1
Regional Project Director – Northern*	3
Regional Project Director – Central*	3
Regional Project Director – Southern*	3
Project Development and Support Manager*	3
Assistant Project Manager (Environmental)*	3
Assistant Project Manager (Engineering)*	3
Project Manager and Deputy Project Manager*	
San Francisco to San Jose	3
San Jose to Merced	3
Merced to Sacramento	3
Merced to Fresno	3
Merced to Fresno (Central Valley Wye)	3

Designated Position	Assigned Disclosure Category
Fresno to Bakersfield	3
Fresno to Bakersfield (Locally Generated Alternative)	3
Bakersfield to Palmdale	3
Palmdale to Burbank	3
Burbank to Los Angeles	3
Los Angeles to Anaheim	3
Los Angeles to San Diego	3
Early Investments/Bookends	3
Project and Construction Management (Consultant)*	
Principal in Charge	2,3
Design-Build Oversight Manager	2,3
Quality Oversight Manager	2,3
Construction Oversight Manager	2,3
Engineering Oversight Manager	2,3
Verification, Validation, and Self -Certification Oversight Manager	2,3
Project Controls Oversight Manager	2,3
Environmental Oversight Manager	2,3
Safety and Security Oversight Manager	2,3
Design/Build Management (Consultant)*	
Officer-in-Charge	2,3
Design Manager	2,3
Construction Manager	2,3
Quality Manager	2,3
Verification and Validation Manager	2,3
Environmental Compliance Manager	2,3
Safety Manager	2,3
Small Business Manager	2,3
Warranty Manager	2,3
Infrastructure Delivery Branch	
Director of Infrastructure Delivery*	1
Deputy Director of Infrastructure Delivery*	1
Construction Package (CP) Project Director*	3
Construction Package (CP) Project Manager*	3
Railroad Coordinator	3
Rail and Operations Delivery Branch	
Chief of Rail Operations/Chief Program Manager	1
Deputy Director of Rail System Delivery*	1
Early Train Operator – Project Director*	1
Early Train Operator – Lead Procurement Advisor*	1
Director of Asset Management*	3
Deputy Director of Asset Management*	3
Asset Data Requirement Manager*	3
Deputy Director of Network Integration and Program Compliance*	1
System Certification Lead*	3
Systems Integration Lead*	3
Director of Rail Engineering*	1
Deputy of Rail Engineering*	1
Systems Engineering Manager*	3
Traction Power Lead*	3
Train Control Lead*	3

Designated Position	Assigned Disclosure Category
Trainset Project Manager*	3
Director of Operations and Maintenance	1
Deputy Director of Operations and Maintenance*	1
Operational Planning Lead*	3
Engineering Services Branch	
Director of Engineering	1
Deputy Director of Engineering*	1
Regional Liaison Lead*	3
Civil Engineering Lead*	3
Engineering Lead Fresno* (All Construction Packages)	3
Tunneling Lead/Manager/Specialist*	3
Geotechnical Engineering Lead/Manager*	3
Structures Lead*	3
Seismic Lead*	3
Environmental Services Branch	
Director of Environmental Services	1
Deputy Director of Environmental Services*	1
Statewide Technical Veracity Manager*	3
Cultural Resources Compliance Manager*	3
Permitting Mitigation and Compliance Manager*	3
Special Projects Manager*	3
Supervising Environmental Planner*	3
Senior Environmental Planner	3
Senior Permitting Specialist*	3
Senior Permitting and Compliance Specialist*	3
NEPA Assignment Coordinator (All Regions)*	3
Real Property Branch	
Director of Real Property	1
Deputy Director of Real Property	1
Deputy Director Compliance, Special Projects and Third Parties*	1
Associate Deputy Director of Compliance*	1
Associate Deputy Director*	1
Third Party Agreement Lead*	1
Right of Way IT Task Manager	1
Claims/Doc Control/Processing/PTE*	2,3
Principal Right of Way Agent	3
Supervising Right of Way Agent	1
Senior Right of Way Agent	1
Right of Way Consultant Support (All Construction Packages) *	1
PTE Manager*	1
Utility/Right of Way Manager*	3
Senior Land Surveyor	1
Program Management and Oversight Branch	
Chief Engineer (Director of Program Management and Oversight)	1
Deputy Director of Program Management and Oversight*	1
Senior Advisor – Special Projects*	1
Program Change Lead*	3
Program Risk Manager*	3,6
Program Controls Data Lead*	3,6
Program Reporting Lead*	3,6

Designated Position	Assigned Disclosure Category
Program Cost Lead*	3,6
Program Schedule Lead*	3,6
Program Estimating Lead*	3,6
Regional Project Controls Manager*	3,6
Planning and Sustainability Branch	
Director of Planning and Sustainability	1
Director of Sustainability*	1
Northern California Region Branch	
Northern California Regional Director	1
Deputy Regional Director*	1
Stakeholder Manager*	3
Information Officer I	3
Central Valley Region Branch	
Central Valley Regional Director	1
Deputy Regional Director*	1
Information Officer II	3
Southern California Region Branch	
Southern California Regional Director	1
Deputy Regional Director*	1
Regional Stakeholder Manager*	3
Information Officer I	3
Strategic Communications	
Chief of Strategic Communications*	1
Deputy Director of External Affairs	1
Director of Special Projects*	1
Deputy Director of Special Projects*	1
Staff Services Manager I (Public Records Act)	2
Information Officer II	3
Legislative Affairs	
Deputy Director of Legislation	1
Grants Manager	1
Legislative Analyst	1
Right-of-Way Consultants	
Contracts and Subcontractors performing work under Tasks 4, 5, 6, and 7	3
Contract Manager	3

Other

Consultants/New Positions

+ Consultants/New Positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code, subject to the following limitation: The Chief Executive Officer may determine in writing (Form 804/Form 805) that a particular consultant or new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus, is not required to fully comply with the disclosure requirements described in this section.

Such determination shall include a description of the consultant’s or new position’s duties and, based upon that description, a statement of the extent of disclosure requirements. The Chief Executive Officer’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code.

The following individuals are required to file under Government Code section 87200 and are NOT covered by this Code. They are listed for informational purposes only.

Authority Board Members and Alternates

Appendix B

Disclosure Categories

Category 1

A designated position in this category must report all investments, interests in real property located in the state of California, positions in business entities, and all sources of income (including receipt of gifts, loans, and travel payments).

Category 2

All investments, and business positions in business entities and income (including receipt of gifts, loans, and travel payments) from sources that have filed a claim or lawsuit against the Authority within the last two years or have a claim pending against the Authority.

Category 3

All investments, and business positions in business entities and income (which includes receipt of gift loans, and travel payments) from sources of the type that engage in land development, acquisition or sale of real property, engineering, construction, environmental planning, energy research or development, transportation services; provide supplies, materials, machinery, or equipment utilized by the Authority or projects funded by the Authority; provide planning, consulting, engineering, design, legal, insurance services of the kind utilized by the Authority; public utilities; and environmental organizations such as non-profit environmental protection organizations.

All real property, and all investments, business positions, and income (including receipt of gifts, loans, and travel payments) received from sources, located within a half-mile from the boundary lines of a high-speed rail station or the proposed location of a high-speed rail station, a half-mile from the edge of the right-of-way for a designated or proposed high-speed rail route, or five miles from the city limits of any city in which a high-speed rail station may be built pending a final determination of the Authority.

Category 4

All investments, and business positions in business entities and income (including receipt of gifts, loans, and travel payments) if the business entity or sources provides information technology or telecommunications goods, products, or services including computer hardware or software companies, computer consultant services, IT training companies, office machine maintenance and repair, data processing firms and media services.

Category 5

A designated position in this category must report investments, positions in business entities, and sources of income including receipt of loans, gifts, and travel payments from sources that provide business services, supplies, materials, machinery, janitorial services, office machine maintenance and repair, security services or equipment of the type utilized by the Authority or procured by the Authority

Category 6

A designated position in this category must report investments, positions in business entities, and sources of income (including receipt of gifts, loans, and travel payments) from sources that engage in accounting, budgeting, auditing, procurement, management, and financing services.

Category 7

A designated position in this category must report investments, positions in business entities, and income (including receipt of gifts, loans, and travel payments) from sources that engage in any of the following:

- Training and education services
- Labor specialists
- Human Resource management services
- Procurement services
- Personnel services